

Effective Date: April 27, 2026

Facts	What does Allworth Financial do with your personal information?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully in order to understand what we do.
What?	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security Number</li> <li>• Income</li> <li>• Assets</li> <li>• Employment Information</li> <li>• Medical Information</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customers' personal information to run their everyday business. In the next section, we list the reasons financial companies can share their customers' personal information, the reasons Allworth Financial chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does Allworth Financial share?	Can you limit this sharing?
<p><b>For our everyday business purposes</b> Such as to administer, manage and service your accounts, including processing transactions and providing related services, and to respond to court orders and legal investigations or respond to regulatory requests or report to credit bureaus.</p>	Yes	No
<p><b>For our marketing purposes</b> To offer other products and services to you.</p>	Yes	No
<p><b>For our Affiliates' every day business purposes</b> Information about your transactions and expenses.</p>	Yes	No
<p><b>For our Affiliates' every day business purposes</b> Information about your creditworthiness.</p>	No	We don't share
<p><b>For our Affiliates to market to you</b></p>	Yes	Yes
<p><b>For nonaffiliates to market to you</b></p>	No	We don't share
<p><b>For joint marketing with other financial companies</b></p>	No	We don't share

<p><b>To limit our sharing:</b></p>	<p>You may limit the sharing of your personal information (“Opt-Out”) by calling (844) 946-0514.</p> <p><b>Please note:</b></p> <p>If you are a new customer, we can begin sharing your information 30 days from the day we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
<p><b>Questions?</b></p>	<p>Please visit <a href="http://AllworthFinancial.com">AllworthFinancial.com</a> or call (844) 946-0514.</p>

Who we are	
<p><b>Who is providing this notice?</b></p>	<p>Allworth Financial, L.P. (“Allworth”).</p>

What we do	
<p><b>How does Allworth and its Affiliates protect my personal information?</b></p>	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Employees are trained on the proper handling of customer personal information.</p>
<p><b>How does Allworth and its Affiliates collect my personal information?</b></p>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>• Open an account or apply for insurance;</li> <li>• Seek advice about your investments;</li> <li>• Provide contact information; or</li> <li>• Make deposits or withdrawals from your account.</li> </ul> <p>We also collect your personal information from others, such as affiliates or other companies.</p>
<p><b>Why can’t I limit all sharing?</b></p>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>• Sharing for Affiliates’ everyday business purposes—information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
<p><b>What happens if I limit sharing for an account I hold jointly with someone else?</b></p>	<p>Your choices will apply to everyone on your account – unless you tell us otherwise.</p>

Definitions	
<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial or nonfinancial companies. Our Affiliates include:</p> <ul style="list-style-type: none"> <li>• Hanson McClain Retirement Network, LLC (dba AW Securities)</li> <li>• Allworth Tax Solutions</li> </ul>
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial or nonfinancial companies.</p> <p>Allworth does not share with Nonaffiliates so they can market to you.</p>
<b>Joint Marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <p>Allworth does not jointly market.</p>

Other important information
<p><b>Use and disclosure of health information</b></p> <p>To the extent you provide health information to Allworth for the purpose of applying for insurance products, such information will not be disclosed to nonaffiliated companies for any purpose, except:</p> <ul style="list-style-type: none"> <li>• To underwrite or administer your insurance policy or related claims</li> <li>• As required by law</li> <li>• As authorized by you</li> </ul>

Questions? Go to [AllworthFinancial.com](http://AllworthFinancial.com) or call (844) 946-0514.