

Effective Date: December 1, 2021

Facts	What does Allworth Financial do with your personal information?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully in order to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us.</p> <p>This information can include:</p> <ul style="list-style-type: none"> <li>• Name, Social Security Number, Date of Birth, and Income</li> <li>• Asset and Investment Experience</li> <li>• Account Balances and Transaction History</li> <li>• Employment, Education, Medical History and Marital Status</li> <li>• Tax Reporting and Investment Performance Information</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customers' personal information to run their everyday business. In the next section, we list the reasons financial companies can share their customers' personal information, the reasons Allworth Financial chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does Allworth Financial share?	Can you limit this sharing?
<b>For our everyday business purposes</b> To administer, manage and service your accounts, including processing transactions and provide related services and to respond to court orders and legal investigations or respond to regulatory requests or report to credit bureaus.	Yes	No
<b>For our marketing purposes</b> To offer other products and services to you.	Yes	No
<b>For our Affiliates every day business purposes</b> Information about your transactions and expenses.	Yes	No
<b>For our Affiliates every day business purposes</b> Information about your transactions and expenses.	Yes	No
<b>For our Affiliates to market to you</b>	Yes	Yes
<b>For nonaffiliates to market to you</b>	No	We don't share
<b>For joint marketing with other financial companies</b>	No	We don't share

Who we are	
Who is providing this notice?	<p>Allworth Financial, L.P. and its Affiliates (collectively “Allworth”). Our Affiliates covered under this privacy notice include the following entity:</p> <ul style="list-style-type: none"> <li>• Hanson McClain Retirement Network, LLC (dba AW Securities)</li> </ul>

What we do	
How does Allworth and its Affiliates protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Employees are trained on the proper handling of customer personal information.
How does Allworth and its Affiliates collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>• Open an account or apply for insurance;</li> <li>• Seek advice about your investments;</li> <li>• Provide contact information; or</li> <li>• Make deposits or withdrawals from your account.</li> </ul> <p>We also collect your personal information from others, such as affiliates or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>• Sharing for Affiliates' everyday business purposes—information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Other important information	
<p><b>Use and disclosure of health information</b></p> <p>To the extent you provide health information to Allworth for the purpose of applying for insurance products, such information will not be disclosed to nonaffiliated companies for any purpose, except:</p> <ul style="list-style-type: none"> <li>• To underwrite or administer your insurance policy or related claims</li> <li>• As required by law</li> <li>• As authorized by you</li> </ul>	
To limit our sharing	<p>You may limit the sharing of your personal information (“Opt-Out”) by calling (844) 946-0514 or visit <a href="http://allworthfinancial.com/remove-cookies">allworthfinancial.com/remove-cookies</a>.</p> <p><b>Please note:</b></p> <p>When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>

**Questions? Go to [AllworthFinancial.com](http://AllworthFinancial.com) or call (844) 946-0514.**